

UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF OHIO  
WESTERN DIVISION

Jon Stainbrook,	)	Case No. 3:06CV2898
	)	
Plaintiff,	)	Judge David A. Katz
	)	
v.	)	<b>DEFENDANT PALM PICTURES, LLC'S</b>
	)	<b><u>RULE 26(a)(1) INITIAL DISCLOSURES</u></b>
Lions Gate Entertainment, et al.,	)	
	)	
Defendants.	)	

\* \* \*

Pursuant to Rule 26(a)(1) of the Federal Rules of Civil Procedure, Defendant Palm Pictures, LLC ("Palm Pictures") submits its initial disclosures.

These disclosures are based on information reasonably available to Palm Pictures at this date. Palm Pictures reserves its right to supplement or modify these disclosures at any time based on continued investigation and discovery.

By making these disclosures, Palm Pictures does not represent that it is identifying every document, tangible thing, or witness it may use to support its case. Rather, Palm Pictures' disclosures represent a good faith effort, in accordance with Rule 26(a)(1), to identify discoverable information that Palm Pictures currently reasonably believes may be used to support its defenses in response to the claims of Plaintiff's Complaint.

These disclosures are made subject to and without waiving, but on the contrary reserving and intending to preserve, (i) all applicable privileges that would make any such information non-discoverable, including but not limited to, the attorney-client privilege and/or the attorney work product doctrine; (ii) the right to object on the grounds of competency, relevance and materiality, hearsay and/or any other applicable ground; (iii) the right to object to the use of any information disclosed, in whole or in part, for any purpose in any subsequent proceeding in this or any other action; and (iv) the right to object on any and all applicable grounds to any subsequent discovery request or other proceeding involving or relating to the subject matter of these disclosures. Palm Pictures does not assume, by way of these disclosures, any burden of proof or pleading, as to any issue for which that burden properly rests with Plaintiff. By submitting these disclosures, Palm Pictures does not waive any jurisdictional defenses available to it.

**A. Individuals Who May Have Discoverable Information**

1. Helen Stickler  
HMS Projects, Inc.  
1517 Allison Avenue  
Los Angeles, California 90026  
(213) 481-1597

Ms. Stickler directed and produced *Stoked: The Rise and Fall of Gator*, the film that allegedly contains a short music clip that allegedly infringes on a copyright allegedly held by Plaintiff. Ms. Stickler may have information regarding the allegedly infringing music clip contained in her film.

2. David Reid  
(No contact information known by Palm Pictures)

Mr. Reid is a music composer who worked on the film, and may have information regarding the allegedly infringing music clip contained in the film.

3. Brad Dorfman  
(No contact information known by Palm Pictures)

Mr. Dorfman may have information regarding the original television commercial that contained Plaintiff's music.

4. Don Hoffman  
(No contact information known by Palm Pictures)

Mr. Hoffman may have information regarding the original television commercial that contained Plaintiff's music.

5. Unknown employees, agents and individuals associated with HMS Projects, Inc. may have information regarding the allegedly infringing music clip contained in the film.

6. Any individuals identified by Plaintiff may have discoverable information regarding the claims and defenses asserted in this case.

**B. Category And Location Of Documents**

1. Video of *Stoked: The Rise and Fall of Gator*; generally available to the public at any major video rental store, and a copy of which is located at Palm Pictures' offices in New York, New York. Upon information and belief, Plaintiff also has a copy in his possession.

2. Sound and/or video clip of original Vision Streetwear television commercial containing Plaintiff's music; a copy of which is located at Palm Pictures' offices in New York, New York. Upon information and belief, Plaintiff also has a copy in his possession.

3. Correspondence between Palm Pictures and HMS Projects, Inc.; copies of which are located at Palm Pictures' offices in New York, New York.

4. Licensing Agreement between HMS Projects, Inc. and Brad Dorfman; a copy of which is located at Palm Pictures' offices in New York, New York.

5. Distribution Agreement between HMS Projects, Inc. and Palm Pictures; a copy of which is located at Palm Pictures' offices in New York, New York.

**C. Damages**

Palm Pictures is not claiming damages at this time.

**D. Insurance Agreements**

Palm Pictures states that a certain liability policy exists which may arguably apply, if Plaintiff's claims fall within the deductible of such policy. A copy of this policy is enclosed.

/s/ Nathan A. Hall

H. Buswell Roberts, Jr. (0004747)

Nathan A. Hall (0077014)

SHUMAKER, LOOP & KENDRICK, LLP

1000 Jackson Street

Toledo, Ohio 43604-5573

Telephone: (419) 241-9000

Facsimile: (419) 241-6894

Attorneys for Defendant

Palm Pictures, LLC

**CERTIFICATE OF SERVICE**

I certify that a copy of the foregoing **Defendant Palm Pictures, LLC's Rule 26(a)(1) Initial Disclosures** has been served electronically and by regular U.S. mail, postage prepaid, this 28<sup>th</sup> day of February, 2007, upon Plaintiff Jon Stainbrook, *pro se*, 1758 Meadowlark Road, Toledo, Ohio 43614.

/s/ Nathan A. Hall

H. Buswell Roberts, Jr.

Nathan A. Hall

SHUMAKER, LOOP & KENDRICK, LLP

Attorneys for Defendant

Palm Pictures, LLC

Adanna Thomas

MARSH

Marsh USA Inc.  
1166 Avenue of the Americas  
New York, NY 10036  
212 345 6558 Fax 212 345 3706  
Adanna.F.Thomas@marsh.com  
www.marsh.com

March 27, 2006

Nida Pantaleon  
Risk Manager  
Palm Entertainment Properties, LLC  
76 9th Avenue  
Suite 1110  
New York, NY 10011

Subject:

**Palm Entertainment Properties, LLC**  
**Media Errors and Omissions Liability**  
**Policy No: 8170-1389**  
**Policy Period: 3/15/06 - 3/15-07**

Dear Nida,

We are pleased to confirm that Executive Risk Indemnity Inc. has bound coverage for Palm Entertainment for Media Errors and Omissions Liability. As a review, coverage is as follows:

Insurance Carrier:	Executive Risk Indemnity Inc. (Chubb)
Policy No.:	8170-1389
Policy Period:	March 15, 2006 to March 15, 2007
Limit of Liability:	\$3,000,000 each claim, \$3,000,000 aggregate
Retention:	\$250,000 each claim (Film - \$10,000)
Policy Form:	Multimedia Liability Insurance Form C25950 (Ed. 10/98)
Premium:	\$60,000

**Covered Media:** All music, videos, films, publications and advertising materials produced or disseminated by the Insured; all licensing and distribution of films by the Insured.

The policy will be issued on the same form and with the same endorsements as expiring, with the exception of the following:

- Endorsement # 3, Separate Retention Endorsement - \$10,000 SIR for all licensing and distribution of films by the Insured.
- Endorsement # 14, Compliance with Applicable Trade Sanction Laws. This is a new, mandatory Chubb endorsement.

# MARSH

Page 2  
March 27, 2006  
Nida Pantaleon  
Palm Entertainment Properties, LLC

## Endorsements:

1. New York Amendatory Endorsement
2. Translation Costs Exclusion Endorsement
3. Separate Retention Endorsement - \$10,000 SIR for all licensing and distribution of films by the Insured
4. Multimedia Omnibus Endorsement
5. Advertising and Promotion Endorsement for In-House Advertisers
6. Claims Made Endorsement
7. Exclude BI/PD Endorsement
8. Royalties Exclusion Endorsement
9. Privacy Policy Exclusion Endorsement
10. SafetyNet Internet Liability Endorsement
11. Information Distribution Laws Endorsement
12. Additional Insured Endorsement
13. Music Exclusion Endorsement
14. Compliance with Applicable Trade Sanction Laws – *New Mandatory Endorsement*

Commission payable to Marsh (*included in premium*): 12.5%

This policy is issued on a Claims Made form (as amended by the Claims Made Endorsement) and as such, the policy will respond only to claims made during the policy period and reported pursuant to the Notification Provisions of the policy, which state in part that, "As a condition precedent to any right to payment or coverage under Section I Insuring Agreement of this Policy, including any Claim for Media Activities of which notice was previously given under subparagraph (2) below, the Insured must give written notice to the Underwriter at the address set forth in Item 7 of the Declarations of any Claim, with full details thereof, as soon as practicable after such Claim is first made during the Policy Period."

You should review the enclosed binder and advise Marsh within 30 days following your receipt of this letter of anything which you believe is not in accordance with the negotiated coverage and terms.

This explanation of the reporting provisions of your policy has been provided in order to assist you in understanding and complying with the policy's requirements. In the event of a question or disagreement with your insurer concerning interpretation of the policy's reporting requirements

# MARSH

Page 3  
March 27, 2006  
Nida Pantaleon  
Palm Entertainment Properties, LLC

as applied to the reporting of a specific claim, loss, occurrence, incident, offense or lawsuit, the actual policy wording, which is the contract between you and the insurer, and not Marsh's explanation of that language, will be controlling. Marsh, as an insurance representative, does not provide legal advice, and this explanation should not be relied upon as a legal interpretation of the policy provisions.

We thank you for allowing Marsh to place this line of coverage on your behalf. Should you have any questions or comments, please feel free to contact Rose or myself.

Regards,

*Adanna Thomas*

Adanna Thomas



EGO Film Library  
 as of 2/9/06

Film Project	PALM THEM
Ambivalent Future	
American Mullet	
American Roots Music	
And Ya Don't Stop: Hip Hops Greatest Videos Vol. 1	
Basketball Diaries	
Be Here to Love Me	
Bigger Than Barbie	
Black and White	
Bomb The System	
Breaking News	
Bright Future	
California Clay In The Rockies	
Calvaire (The Ordeal)	
Cecil Taylor: All the Notes	
City of Men: The Emperor's Crown	
City of Men: The Guy's Brother in Law	
City of Men: The Post, Uolace and Joao Victor	
City of Men: Saturday	
City of Men: Two Tickets to Brasilia	
City of Men: Ordinary Kids	
City of Men: Hot Spot	
City of Men: It's Gotta Be Now	
City of Men: Can't Screw Up Twice	
City of Men: Didn't Mean To	
City of Men: Opening Night	
City of Men: Hip Sampa Hop	
City of Men: Father and Son	
Clean	
Coney Island of Lawrence Ferlinghetti (Chris Felver)	
Countryman	
Cremaster Cycle	
The Criminal	
Cronicas	
The Cup	London
Dance Hall Queen	
Dark Days	
The Definitive Jux Presents The Revenge of the Robots (Various Artists)	
Demon Lover	
Dig	
Dolls	
Donald Judd's Marfa Texas	
Drum and Bass Strip to the Bone (Sly and Robbie)	

Edge City	
The Eye	
Fabulous Story of the Cuban Cigar, The	
Familia Rodante (Rolling Family)	
Feml Kuti	
First Waltz	
Flower of Evil	
Free Tibet	
Freestyle	
Fulltime Killer	
Gravesend	
Gunner Palace	
The Heart Is Deceitful	
Henri Cartier Bresson	
Hommage A Noir	
The Housekeeper	
How to Draw a Bunny	
Hype Williams	
I'm Still Here...Damn It! (Sandra Bernhard)	
Invisible Waves	
John Cage (Chris Feller)	
Joint Security Area	
Last Life in the Universe	
Last Minute	
Legend of Leigh Bowery	
Live At The Royal Festival Hall (Baaba Maal)	
Lockdown	
Lower City	
Lunatic	
Man in the Sand	
Mandela	
Memories of Murder	
Metalheadz / Manga Live	
Millenium Mambo	
Morvern Callar	
Motel	
Noi Albinoi	
Nomi Song	
NYC Ballet Workout	
NYC Ballet Workout 2	
One Giant Leap	London
One Love: The Bob Marley All-Star Tribute (Bob Marley)	London
One Seven	

Osc-Dis Video Compilation (Tribe, Pulse, All The Time In Sunny Beach, Good Girl, Midi Surf & Systematic)	
Palm World Voices: First Group Package: Vedic Path, Africa, Baaba Maal, Second Group Package: Spirit, Brazil, Mandela	
Paperboys	
PTU	
Purple Butterfly	
Rebel Music: The Bob Marley Story (Bob Marley)	
Reconstruction	
RES Short Films	
Scratch	
The Sea	
Sex and Lucia	
Six String Samurai	
Spaceman	
Springtime in a Small Town	
Stoked: The Rise and Fall of Gator	
Stop Making Sense (Talking Heads)	
Suck It And See	
Sun Dogs	
Sun is Shining (Bob Marley)	
Tabla Beat Science Live	
The Believer	
The Best of RES FEST- RESFEST Shorts Volume 1	
The Best of RES FEST- RESFEST Shorts Volume 2	
The Best of RES FEST- RESFEST Shorts Volume 3	
The Work of Director Anton Corbijn	
The Work of Director Spike Jonze	
The Work of Director Chris Cunningham	
The Work of Director Michel Gondry	
The Work of Director Jonathan Glazer	
The Work of Director Stephane Sednaoui	
The Work of Director Mark Romanek	
The Director's Label Box Set #1	
Thicker Than Water	
Third World Cop	

(Time of the Wolf) Le Temps du Loup		
Tom Dowd & The Language of Music		
Tony Cragg: In Celebration of Sculpture (Chris Felver)		
True Blunt Masters		
Ultraviolet Part 1 and Part 2		
West Coast Beat and Beyond		
Who Gets To Call It Art?		
William Eggleston: In The Real World		
Wonderous Oblivion		
Yogazone		London
Youthanasia		
6ixtynin9 (Pen-Ak Ratangruang)		